# Cryptanalysis of Keccak 

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## Outlines

(1) Introduction

(2) Preimage Attack
(3) Collision Attack
(4) Summary

## Outline

(1) Introduction

- Description of SHA-3 (КесСак)


## (2) Preimage Attack

(3) Collision Attack
(4) Summary

## NIST Standards of Secure Hash Algorithm



## SHA-3 Hash Function

The sponge construction [BDPV11]

sponge

- b-bit permutation $f$
- Two parameters: bitrate $r$, capacity $c$, and $b=r+c$.
- The message is padded and then split into $r$-bit blocks.


## Instances of Keccak and SHA-3

Based on the Sponge construction with a permutation called Кессак- $f$ (Кессак-p):

- Keccak versions
- $\operatorname{Keccak}[c], c=2 d, d=224 / 256 / 384 / 512$.
- SHA-3 versions
- SHA3- $n, n=224 / 256 / 384 / 512$ and $c=2 n, d=n$.
- SHAKEn (eXtendable Output Functions, XOFs)

$$
\begin{aligned}
& \star \quad(\text { SHAKE }=\text { SHA }+ \text { KEccak }) \\
& \star n=128 / 256, c=2 n, d \leq 2 n .
\end{aligned}
$$

- Instances of Keccak challenge
- $\operatorname{Keccak}\left[r, c, n_{r}, d\right]$ where $d$ is the digest size, and $n_{r}$ is the number of rounds.
- For the category of collision challenges, $d=c=160$.


## SHA-3 Hash Function

Кессак-f permutation

- 1600 bits: seen as a $5 \times 5$ array of 64-bit lanes, $A[x, y], 0 \leq x, y<5$
- 24 rounds
- each round $R$ consists of five steps:

$$
R=\iota \circ \chi \circ \pi \circ \rho \circ \theta
$$


http://www.iacr.org/authors/tikz/

- $\chi$ : the only nonlinear operation, a 5-bit Sbox applies to each row.


## SHA-3 Hash Function

Keccak permutation: $\iota \circ \chi \circ \pi \circ \rho \circ \theta$
$\theta$ step: adding two columns to the current bit

$$
\begin{aligned}
C[x]= & A[x, 0] \oplus A[x, 1] \oplus A[x, 2] \oplus \\
& A[x, 3] \oplus A[x, 4] \\
D[x]= & C[x-1] \oplus(C[x+1] \lll 1) \\
A[x, y]= & A[x, y] \oplus D[x]
\end{aligned}
$$


http://keccak.noekeon.org/

- The Column Parity kernel
- If $C[x]=0,0 \leq x<5$, then the state A is in the CP kernel.


## SHA-3 Hash Function

Keccak permutation: $\iota \circ \chi \circ \pi \circ \rho \circ \theta$
$\rho$ step: lane level rotations, $A[x, y]=A[x, y] \lll r[x, y]$

http://keccak.noekeon.org/
Rotation offsets $r[x, y]$

|  | $x=0$ | $x=1$ | $x=2$ | $x=3$ | $x=4$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $y=0$ | 0 | 1 | 62 | 28 | 27 |
| $y=1$ | 36 | 44 | 6 | 55 | 20 |
| $y=2$ | 3 | 10 | 43 | 25 | 39 |
| $y=3$ | 41 | 45 | 15 | 21 | 8 |
| $y=4$ | 18 | 2 | 61 | 56 | 14 |

## SHA-3 Hash Function

Keccak permutation: $\iota \circ \chi \circ \pi \circ \rho \circ \theta$

## $\pi$ step: permutation on lanes



$$
A[y, 2 * x+3 * y]=A[x, y]
$$

## SHA-3 Hash Function

Keccak permutation: $\iota \circ \chi \circ \pi \circ \rho \circ \theta$
$\chi$ step: 5-bit S-boxes, nonlinear operation on rows

$$
\begin{aligned}
& y_{0}=x_{0}+\left(x_{1}+1\right) \cdot x_{2}, \\
& y_{1}=x_{1}+\left(x_{2}+1\right) \cdot x_{3}, \\
& y_{2}=x_{2}+\left(x_{3}+1\right) \cdot x_{4}, \\
& y_{3}=x_{3}+\left(x_{4}+1\right) \cdot x_{0}, \\
& y_{4}=x_{4}+\left(x_{0}+1\right) \cdot x_{1} .
\end{aligned}
$$



## SHA-3 Hash Function

Keccaк permutation: $\iota \circ \chi \circ \pi \circ \rho \circ \theta$
$\iota$ step: adding a round constant to the state

Adding one round-dependent constant to the first "lane", to destroy the symmetry.

Without $\iota$

- The round function would be symmetric.
- All rounds would be the same.
- Fixed points exist.
- Vulnerable to rotational attacks, slide attacks, ...


## Description of SHA-3 (Кессак)

Round function of Kессак- $f$
Internal state A: a $5 \times 5$ array of 64-bit lanes

$$
\begin{aligned}
\theta \text { step } & C[x]=A[x, 0] \oplus A[x, 1] \oplus A[x, 2] \oplus A[x, 3] \oplus A[x, 4] \\
& D[x]=C[x-1] \oplus(C[x+1] \lll 1) \\
& A[x, y]=A[x, y] \oplus D[x] \\
\rho \text { step } & A[x, y]=A[x, y] \lll r[x, y]
\end{aligned}
$$

- The constants $r[x, y]$ are the rotation offsets.
$\pi$ step $A[y, 2 * x+3 * y]=A[x, y]$
$\chi$ step $A[x, y]=A[x, y] \oplus((A[x+1, y]) \& A[x+2, y])$
$\iota$ step $A[0,0]=A[0,0] \oplus R C$
$-R C[i]$ are the round constants.
$L \triangleq \pi \circ \rho \circ \theta$
The only non-linear operation is $\chi$ step.


## Outline

## Introduction

(2) Preimage Attack

- Properties of $\chi$ and $\theta$
- Linear Structure

3 Collision Attack

4 Summary

## Security Requirements

## Preimage


$2^{n}$

## Preimage Attack: Strategy



- Simplest case: Given a $d$-bit digest, find an $r$-bit message block $M_{1}$.
- Padding and $c$ bits capacity are out of control
- Permutation $f$ is reduced


## How to keep the Sbox $\chi$ linear

The expression of $b=\chi(a)$ is of algebraic degree 2:
$b_{i}=a_{i}+\overline{a_{i+1}} \cdot a_{i+2}$, for $i=0,1, \ldots, 4$.

## How to keep the Sbox $\chi$ linear

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## Observation

When there is no neighbouring variables in the input of an Sbox, then the application of $\chi$ does NOT increase algebraic degree.

## How to keep the Sbox $\chi$ linear

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## Observation

When there is no neighbouring variables in the input of an Sbox, then the application of $\chi$ does NOT increase algebraic degree.

$\checkmark$

$x$

## How to keep $\chi^{-1}$ linear

$a=\chi^{-1}(b)$ is of algebraic degree 3: $a_{i}=b_{i} \oplus \overline{b_{i+1}} \cdot\left(b_{i+2} \oplus \overline{b_{i+3}} \cdot b_{i+4}\right)$

## Our Setting

keep $y_{3}=0, y_{4}=1$, and $y_{1}$ constant, then $\chi^{-1}$ becomes linear.

## How to keep $\chi^{-1}$ linear

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## Properties of $\theta$

## Definition of $\theta$ operation:

$$
\begin{aligned}
& C[x]=A[x, 0] \oplus A[x, 1] \oplus A[x, 2] \oplus A[x, 3] \oplus A[x, 4] \\
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\end{aligned}
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## Properties:

When $C[x]$ is forced to be a constant, i.e., the sum of the all columns are kept to be constants, then $\theta$ acts the same as adding a constant.

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## Properties:

When $C[x]$ is forced to be a constant, i.e., the sum of the all columns are kept to be constants, then $\theta$ acts the same as adding a constant.

When differential attack is applied, and the sum of differences of all columns are kept to be zero ( $C[x]=0$ for all $x$ ), then $\theta$ acts the same as identity. This special structure is called CP-kernel (Column Parity).

## $\theta$ acts like identity

When the sum of all columns are constants, $\theta$ acts like identity w.r.t. the variables.

| $x$ | $c$ | $c$ | $c$ | $c$ |
| :---: | :---: | :---: | :---: | :---: |
| $x+c$ | $c$ | $c$ | $c$ | $c$ |
| $c$ | $c$ | $c$ | $c$ | $c$ |
| $c$ | $c$ | $c$ | $c$ | $c$ |
| $c$ | $c$ | $c$ | $c$ | $c$ |


| $x+c$ | $c$ | $c$ | $c$ | $c$ |
| :---: | :---: | :---: | :---: | :---: |
| $x+c$ | $c$ | $c$ | $c$ | $c$ |
| $c$ | $c$ | $c$ | $c$ | $c$ |
| $c$ | $c$ | $c$ | $c$ | $c$ |
| $c$ | $c$ | $c$ | $c$ | $c$ |

II
$\sum=c$
$c$ denotes a binary constant with value either 0 or 1 .

## Linear Structure

Keeping $1+1$ rounds being linear with the degree of freedom up to 512


## Linear Structure

Keeping $1+2$ rounds being linear with the degree of freedom up to 194



## Preimage Attack on 3-Round SHAKE128 (1)


$64 * 2$ variables, $64 * 2$ quadratic equations. Solving systems of non-linear equations is hard.

## Setting up linear equations from the output of $\chi$

Bilinear structure of $\chi$
$\chi: b_{i}=a_{i} \oplus \overline{a_{i+1}} \cdot a_{i+2}$, and specially we have

$$
\begin{align*}
& b_{0}=a_{0} \oplus \overline{a_{1}} \cdot a_{2}  \tag{1}\\
& b_{1}=a_{1} \oplus \overline{a_{2}} \cdot a_{3} \tag{2}
\end{align*}
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Multiplying $a_{2}$ to both sides of (2), one obtains:

$$
\begin{equation*}
b_{1} \cdot a_{2}=\left(a_{1} \oplus \overline{a_{2}} \cdot a_{3}\right) \cdot a_{2}=a_{1} \cdot a_{2} \tag{3}
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Given two consecutive bits of the output of $\chi$, one linear equation on the input bits can be set up.

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Given two consecutive bits of the output of $\chi$, one linear equation on the input bits can be set up.

## Preimage attack on 3-round SHAKE128

 $64 * 2$ variables, 64 linear equations.
## Setting up more linear equations

$\chi: b_{i}=a_{i} \oplus \overline{a_{i+1}} \cdot a_{i+2}$, and specially we have

## Setting 1

Guess $a_{i+1}=0$ or 1 , then $b_{i}$ becomes linear.

## Setting up more linear equations

$\chi: b_{i}=a_{i} \oplus \overline{a_{i+1}} \cdot a_{i+2}$, and specially we have

## Setting 1

Guess $a_{i+1}=0$ or 1 , then $b_{i}$ becomes linear.

## Setting 2

$b_{i}=a_{i}$ holds with probability 0.75 when input bit $a_{j}$ is uniformly distributed, for all $i \in\{0, \ldots, 4\}$.

## Setting up more linear equations

$\chi: b_{i}=a_{i} \oplus \overline{a_{i+1}} \cdot a_{i+2}$, and specially we have

## Setting 1

Guess $a_{i+1}=0$ or 1 , then $b_{i}$ becomes linear.

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$b_{i}=a_{i}$ holds with probability 0.75 when input bit $a_{j}$ is uniformly distributed, for all $i \in\{0, \ldots, 4\}$.

## Preimage attack on 3-round SHAKE 128

Setting $164 * 2$ variables, $64+32+32$ linear equations (guess 32 bits), complexity $2^{32}$
Setting $264 * 2$ variables, $64+64$ linear equations, complexity $\frac{1}{0.75^{64}}=2^{26.6}$ (by changing the constant part)

## Preimage Attack on 3-Round SHAKE128 (2)



The degree of freedom: $64 *(10-2-4)-6=250$
The complexity is 1 .

## Summary of preimage attacks on SHA-3

| Target | \#Rounds | Time |
| :--- | :---: | :---: |
| SHAKE128 | 3 | 1 |
|  | 4 | $2^{106}$ |
| SHA3-224 | 2 | $2^{33}$ |
|  | 3 | $2^{39}$ |
| SHA3-256 / SHAKE256 | 4 | $2^{207}$ |
|  | 2 | $2^{33}$ |
|  | 3 | $2^{82}$ |
| SHA3-512 | 3 | $2^{239}$ |
|  | 4 | $2^{323}$ |
|  | 2 | $2^{378}$ |

## Outline

## (1) Introduction

(2) Preimage Attack
(3) Collision Attack

- Overview
- One-Round Connectors
- S-box Linearization and Connector Extensions


## Security Requirements

Collision


$$
2^{\mathrm{n} / 2}
$$

## Overview

$\left(n_{r_{1}}+n_{r_{2}}\right)$-round collision attacks


- $n_{r_{2}}$-round differential: $\Delta S_{I} \rightarrow \Delta S_{O}$
- $n_{r_{1}}$-round connector: A certain procedure which produces message pairs $\left(M_{1}, M_{2}\right)$ such that

$$
\mathrm{R}^{n_{r_{1}}}\left(\overline{M_{1}} \| 0^{c}\right)+\mathrm{R}^{n_{r_{1}}}\left(\overline{M_{2}} \| 0^{c}\right)=\Delta S_{I}, \quad\left(\mathrm{R}^{i}: i \text { iterations of } \mathrm{R}\right)
$$

## Overview

$\left(n_{r_{1}}+n_{r_{2}}\right)$-round collision attacks

- Two stages:
- Connecting stage.
$\star$ Construct an $n_{r_{1}}$-round connector and get a subspace of messages bypassing the first $n_{r_{1}}$ rounds.
- Brute-force searching stage.
$\star$ Find a colliding pair following the $n_{r_{2}}$-round differential trail from the subspace by brute force.
(2)

Brute-force searching stage with complexity $2^{w}$

(1) Connecting stage

## 1-round connector by Dinur et al.

Collision attacks on 4-round Kесcaк-224/256 (FSE 2012)

- 1-round connector + 3-round differential trail


## Properties of Keccak S-box

Property 1. Given $\left(\delta^{\text {in }}, \delta^{o u t}\right), V=\left\{x: S(x)+\mathrm{S}\left(x+\delta^{\text {in }}\right)=\delta^{\text {out }}\right\}$ is an affine subspace.

## Example

Let $\left(\delta^{\text {in }}, \delta^{\text {out }}\right)=(01,01)$, then $\operatorname{DDT}(01,01)=8$ and $V=\{10,11,14$, $15,18,19,1 \mathrm{C}, 1 \mathrm{D}\}$ is a 3 -dimensional affine subspace, defined by

$$
\left\{\begin{array}{l}
x_{1}=0, \\
x_{4}=1 .
\end{array}\right.
$$

## 1-round connector by Dinur et al.

Property 2. Given $\delta^{\text {out }}, T=\left\{\delta^{\text {in }}: \operatorname{DDT}\left(\delta^{\text {in }}, \delta^{\text {out }}\right)>0\right\}$ contains at least five 2-dimensional affine subspaces.

## Example

Suppose $\delta^{\text {out }}=01$. Then, $T=\{01,09,0 \mathrm{~B}, 11,15,19,1 \mathrm{~B}, 1 \mathrm{D}, 1 \mathrm{~F}\}$. Among $T$ there are nine 2 -dimensional affine subspaces.

$$
\begin{aligned}
& \left\{\begin{array}{l}
\delta_{0}^{i n}=1 \\
\delta_{1}^{i n}=0 \\
\delta_{2}^{i n}=0
\end{array} \quad \leftrightarrow T_{0}=\{01,09,11,19\}\right. \\
& \left\{\begin{array}{r}
\delta_{0}^{i n}=1 \\
\delta_{1}^{i n}=0 \\
\delta_{2}^{i n}+\delta_{4}^{i n}=0
\end{array} \leftrightarrow T_{1}=\{01,09,15,1 D\} \quad\left\{\begin{array}{r}
\delta_{0}^{i n}=1 \\
\delta_{3}^{i n}=1 \\
\delta_{4}^{i n}=1
\end{array} \leftrightarrow T_{8}=\{19,1 B, 1 D, 1 F\}\right.\right.
\end{aligned}
$$

## 1-round connector

$$
\left.\begin{array}{ccc}
\alpha_{0} & & \beta_{0} \\
\\
& & \alpha_{1}\left(\Delta S_{I}\right) \\
c \uparrow \mid & L & \\
& & x
\end{array} \right\rvert\, \begin{aligned}
& \chi \\
&
\end{aligned}
$$

- Choose $\beta_{0}$ s.t. $\operatorname{Pr}\left(\beta_{0} \rightarrow \alpha_{1}\right)>0$.
- Derive the solution set $V$ for $x$.
- For $x \in V$,

$$
\chi(x)+\chi\left(x+\beta_{0}\right)=\alpha_{1}
$$

always holds.
${ }^{1} p$ denotes the minimal number of fixed padding bit(s).

## 1-round connector

- Choose $\beta_{0}$ s.t. $\operatorname{Pr}\left(\beta_{0} \rightarrow \alpha_{1}\right)>0$.
- Derive the solution set $V$ for $x$.
- For $x \in V$,

$$
\chi(x)+\chi\left(x+\beta_{0}\right)=\alpha_{1}
$$

always holds.

How about the $(c+p)$-bit ${ }^{1}$ initial constraints?
${ }^{1} p$ denotes the minimal number of fixed padding bit(s).

## 1-round connector by Dinur et al.

The target difference algorithm

$$
\left.\left.\left.\right|_{c \uparrow} ^{\alpha_{0}} \quad L \quad\right|_{x} ^{\beta_{0}}\right|_{x} \alpha_{1}\left(\Delta S_{I}\right)
$$

- Difference phase: find a subspace of input difference $\beta_{0}$ to $\chi$
- Choose an affine subspace of input differences for each active S-box (using Property 2).
- These $\beta_{0} \mathrm{~S}$ should be compatible with the last $(c+p)$-bit initial difference.
- Value phase: by fixing $\beta_{0}$, obtain a subspace of input values to $\chi$ that lead to the target difference $\Delta S_{I}$ (using Property l)
- These input values should be compatible with the last $(c+p)$-bit initial value.


## Example

$$
\begin{array}{ccc}
? & x \xrightarrow{\text { S-box }} & y \\
? & \delta^{\text {in }} & \xrightarrow{\text { S-box }} \\
\delta^{\text {out }}=01
\end{array}
$$

## Example

$$
\begin{array}{ccc}
? & x \xrightarrow{\text { S-box }} & y \\
? & \delta^{\text {in }} \xrightarrow{\text { S-box }} & \delta^{\text {out }}=01
\end{array}
$$

## Initialization:

$E_{\Delta}$ : over $\beta_{0}$, initialized with $c+p$ equations concerning the initial difference;
$E_{M}$ : over $x$, initialized with $c+p$ equations concerning the initial value.

## Example

$$
\begin{array}{ccc}
? & x \xrightarrow{\text { S-box }} & y \\
? & \delta^{\text {in }} \xrightarrow{\text { S-box }} & \delta^{\text {out }}=01
\end{array}
$$

## Initialization:

$E_{\Delta}$ : over $\beta_{0}$, initialized with $c+p$ equations concerning the initial difference;
$E_{M}$ : over $x$, initialized with $c+p$ equations concerning the initial value.
Difference phase: Choose a subspace for $\delta^{i n}$ from $T=\{01,09,0 \mathrm{~B}, 11,15,19,1 \mathrm{~B}$, 1D, 1F \}.

$$
\begin{aligned}
& \left\{\begin{array}{l}
\delta_{0}^{i n}=1 \\
\delta_{1}^{i n}=0 \\
\delta_{2}^{i n}=0
\end{array} \quad \leftrightarrow T_{0}=\{01,09,11,19\}\right. \\
& \left\{\begin{array}{r}
\delta_{0}^{\text {in }}=1 \\
\delta_{1}^{i_{1}}=0 \\
\delta_{2}^{\text {in }}+\delta_{4}=0
\end{array} \leftrightarrow T_{1}=\{01,09,15,1 D\} \quad\left\{\begin{array}{r}
\delta_{0}^{\text {in }}=1 \\
\delta_{3}^{i n}=1 \\
\delta_{4}^{\text {in }}=1
\end{array} \leftrightarrow T_{8}=\{19,1 B, 1 D, 1 F\}\right.\right.
\end{aligned}
$$

Suppose $T_{0}$ is compatible with $E_{\Delta}$ and is chosen by adding it to $E_{\Delta}$.

## Example

Value phase: From $T_{0}$, choose an exact value for $\delta^{i n}$. Suppose 01 is chosen. This means
(1)

$$
\left\{\begin{array}{l}
\delta_{3}^{i n}=0 \\
\delta_{4}^{i n}=0
\end{array}\right.
$$

is compatible with $E_{\Delta}$ and added to $E_{\Delta}$.

## Example

Value phase: From $T_{0}$, choose an exact value for $\delta^{i n}$.
Suppose 01 is chosen. This means
(1)

$$
\left\{\begin{array}{l}
\delta_{3}^{i n}=0 \\
\delta_{4}^{i n}=0
\end{array}\right.
$$

is compatible with $E_{\Delta}$ and added to $E_{\Delta}$.
(2)

$$
\left\{\begin{array}{l}
x_{1}=0 \\
x_{4}=1
\end{array}\right.
$$

is compatible with $E_{M}$ and added to $E_{M}$. It constrains $x$ to

$$
V=\{10,11,14,15,18,19,1 C, 1 D\}
$$

With $x \in V, \operatorname{Pr}(01 \rightarrow 01)=1$ for this S-box.

## Summary of the 1 -Round Connector

- Without the initial $E_{M}$ and $E_{\Delta}$, these two phases always succeed.
- The greater the capacity $c$ is, the more difficult it is for the algorithm to succeed.
- Construct a connector by processing linear equations.


## 2-Round Connectors

Extending the 1-round connector


1-round connector



## 2-Round Connectors

Extending the 1-round connector

(Partially) linearize the first round.

## S-box linearization

Linearizable subspaces

## Definition (Linearizable subspaces)

Given an S-box $S(\cdot)$, linearizable subspaces are input subspaces $V$, for which $\exists A, b$, s.t. $\forall x \in V, \mathrm{~S}(x)=A \cdot x+b$.

## Example

For an input subspace $V=\{0,1,4,5\}$ which is defined by $\left\{x_{1}=0, x_{3}=0, x_{4}=0\right\}$, the $S$-box is equivalent to the linear transformation

$$
y=\left(\begin{array}{lllll}
1 & 0 & 1 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 \\
1 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 1
\end{array}\right) \cdot x .
$$

## S-box linearization

Linearizable subspaces

- The largest linearizable subspace is of dimension 2.
- There are totally 80 2-dimensional linearizable affine subspaces.

Table: Linearizable affine subspaces of Кессак S-box

| $\{0,1,4,5\}$ | $\{2,3,6,7\}$ | $\{0,1,8,9\}$ | $\{4,5,8,9\}$ |
| :---: | :---: | :---: | :---: |
| \{1, 2, 9, A\} | $\{0,3,8, B\}$ | $\{1,3,9, B\}$ | $\{2,3, A, B\}$ |
| $\{0,1, C, D\}$ | $\{4,5, C, D\}$ | $\{8,9, \mathrm{C}, \mathrm{D}\}$ | $\{4,6, C, E\}$ |
| $\{4,7, C, F\}$ | $\{5,7, \mathrm{D}, \mathrm{F}\}$ | $\{2,3, E, F\}$ | $\{6,7, E, F\}$ |
| \{0, 2, 10, 12\} | \{8, A, 10, 12\} | $\{1,3,11,13\}$ | $\{9, \mathrm{~B}, 11,13\}$ |
| $\{1,5,10,14\}$ | $\{2,4,12,14\}$ | \{0, 4, 11, 15\} | $\{1,5,11,15\}$ |
| $\{10,11,14,15\}$ | $\{0,6,10,16\}$ | $\{2,6,12,16\}$ | $\{3,7,12,16\}$ |
| \{C, E, 14, 16\} | $\{1,7,11,17\}$ | $\{2,6,13,17\}$ | $\{3,7,13,17\}$ |
| \{D, F, 15, 17\} | $\{12,13,16,17\}$ | \{10, 11, 18, 19\} | $\{14,15,18,19\}$ |
| $\{8, \mathrm{~A}, 18,1 \mathrm{~A}\}$ | \{10, 12, 18, 1A | $\{11,12,19,1 \mathrm{~A}\}$ | $\{10,13,18,1 \mathrm{~B}\}$ |
| $\{9, \mathrm{~B}, 19,1 \mathrm{~B}\}$ | $\{11,13,19,1 \mathrm{~B}\}$ | $\{12,13,1 \mathrm{~A}, 1 \mathrm{~B}\}$ | $\{16,17,1 \mathrm{~A}, 1 \mathrm{~B}\}$ |
| \{9, D, 18, 1C | \{A, C, 1A, 1C | \{8, C, 19, 1D\} | \{9, D, 19, 1D\} |
| $\{10,11,1 C, 1 D\}$ | $\{14,15,1 C, 1 D\}$ | $\{18,19,1 \mathrm{C}, 1 \mathrm{D}\}$ | $\{8, \mathrm{E}, 18,1 \mathrm{E}\}$ |
| \{B, F, 1A, 1E\} | \{4, 6, 1C, 1E\} | \{C, E, 1C, 1E\} | $\{14,16,1 \mathrm{C}, 1 \mathrm{E}\}$ |
| \{9, F, 19, 1F | \{A, E, 1B, 1F\} | $\{\mathrm{B}, \mathrm{F}, 1 \mathrm{~B}, 1 \mathrm{~F}\}$ | $\{14,17,1 \mathrm{C}, 1 \mathrm{~F}\}$ |
| \{D, F, 1D, 1F\} | \{15, 17, 1D, 1F\} | $\{12,13,1 \mathrm{E}, 1 \mathrm{~F}\}$ | $\{16,17,1 \mathrm{E}, 1 \mathrm{~F}\}$ |
| $\{0,2,8, A\}$ | $\{6,7, A, B\}$ | $\{5,6, \mathrm{D}, \mathrm{E}\}$ | $\{\mathrm{A}, \mathrm{B}, \mathrm{E}, \mathrm{F}\}$ |
| $\{0,4,10,14\}$ | $\{3,5,13,15\}$ | $\{4,6,14,16\}$ | $\{5,7,15,17\}$ |
| $\{0,2,18,1 \mathrm{~A}\}$ | $\{1,3,19,1 \mathrm{~B}\}$ | $\{8, \mathrm{C}, 18,1 \mathrm{C}\}$ | \{B, D, 1B, 1D\} |
| $\{\mathrm{A}, \mathrm{E}, 1 \mathrm{~A}, 1 \mathrm{E}\}$ | $\{15,16,1 \mathrm{D}, 1 \mathrm{E}\}$ | $\{5,7,1 \mathrm{D}, 1 \mathrm{~F}\}$ | $\{1 \mathrm{~A}, 1 \mathrm{~B}, 1 \mathrm{E}, 1 \mathrm{~F}\}$ |

## S-box linearization

Linearizable Subspace and DDT

## Observation

For an active Keccak S-box, $V=\left\{x: S(x)+S\left(x+\delta^{\text {in }}\right)=\delta^{\text {out }}\right\}$
(1) if $\operatorname{DDT}\left(\delta^{\text {in }}, \delta^{\text {out }}\right)=2$ or 4 , then $V$ is a linearizable affine subspace.
(2) if $\operatorname{DDT}\left(\delta^{\text {in }}, \delta^{\text {out }}\right)=8$, then among $V$ there are six 2-dimensional subsets $W_{i} \subset V, i=0, \cdots, 5$ such that $W_{i}$ are linearizable affine subspaces.

$$
\begin{aligned}
& \text { Example } \\
& \begin{array}{l}
\operatorname{DDT}(01,01)=8, V=\{10,11,14,15,18,19,1 C, 1 D\}, w_{i} \text { 's are } \\
\qquad\{10,11,14,15\},\{10,11,18,19\},\{10,11,1 C, 1 D\} \\
\{14,15,18,19\},\{14,15,1 C, 1 D\},\{18,19,1 C, 1 D\}
\end{array}
\end{aligned}
$$

## Drawback of S-box Linearization

- Each 5-bit S-box allows a linearizable subspace of dimension at most 2.
- Full linearization of two rounds is impossible, since $3 / 5$ degree of freedom is lost in each round of linearization. Hence 3-round connectors are impossible.


## Non-Full S-box Linearization

Two Observations - 1

## Observation

For a non-active Keccak S-box, when $U_{i} \neq 1 \mathrm{~F}$,
(1) if $U_{i}=0$, it does not require any linearization;
(2) if $U_{i} \in T, T=\{01,02,04,08,10,03,06,0 C, 11,18\}$, at least 1 equation should be added to $E_{M}$ to linearize the output bit(s) of the $S$-box marked by $U_{i}$;
(3) otherwise, at least 2 equations should be added to $E_{M}$ to linearize the output bits of the $S$-box marked by $U_{i}$.

## Example

Suppose $U_{i}=1$.
Linearization of $y_{0}=x_{0}+\left(x_{1}+1\right) \cdot x_{2}$

| No. | constraint | linear mapping |
| :---: | ---: | :--- |
| 1 | $x_{1}=0$ | $y_{0}=x_{0}+x_{2}$ |
| 2 | $x_{1}=1$ | $y_{0}=x_{0}$ |
| 3 | $x_{2}=0$ | $y_{0}=x_{0}$ |
| 4 | $x_{2}=1$ | $y_{0}=x_{0}+x_{1}+1$ |
| 5 | $x_{1}+x_{2}=0$ | $y_{0}=x_{0}$ |
| 6 | $x_{1}+x_{2}=1$ | $y_{0}=x_{0}+x_{2}$ |



## Non-Full S-box Linearization

Two Observations - 2

## Observation

Given $\left(\delta^{\text {in }}, \delta^{\text {out }}\right)$ such that $\operatorname{DDT}\left(\delta^{\text {in }}, \delta^{\text {out }}\right)=8,4$ out of 5 output bits are already linear if the input is chosen from the solution set $V=\left\{x \mid \mathrm{S}(x)+\mathrm{S}\left(x+\delta^{\text {in }}\right)=\delta^{\text {out }}\right\}$.

## Example

$\operatorname{DDT}(01,01)=8$ and $V=\{10,11,14,15,18,19,1 \mathrm{C}, 1 \mathrm{D}\}$. The algebraic expressions of the S -box are reduced to

$$
\begin{aligned}
& y_{0}=x_{0}+x_{2} \\
& y_{1}=\left(x_{2}+1\right) \cdot x_{3} \\
& y_{2}=x_{2}+x_{3}+1, \\
& y_{3}=x_{3} \\
& y_{4}=1
\end{aligned}
$$

## Non-Full S-box Linearization

Table: \#equations added to $E_{M}$ to partially linearize an S-box

| non-active |  | active |  |
| :---: | :---: | :---: | :---: |
| $U_{i}$ | \#equations | DDT | \#equations |
| 1 F | 3 | 2 | 4 |
| 0 | 0 | 4 | 3 |
| $T$ | 1 | 8 | 2,3 |
| others | 2 |  |  |

- Less degrees of freedom are consumed for non-full S-box linearizations.


## Timings for Practical Collision Attacks

Table: Collision attacks using 2-/3-round connectors

| Target $[r, c, d]$ | $n_{r}$ | Searching <br> Complexity | Searching <br> Time | Connecting <br> Time |
| :--- | :---: | :---: | :---: | :---: |
| KECCAK[1440,160,160] | 5 | $2^{40}$ | 2.48 h | 9.6 s |
|  | 6 | $2^{51.14}$ | $\mathbf{1 1 2 h}^{\dagger}$ | $4.5 \mathrm{~h}^{\ddagger}$ |
| KECCAK[640,160,160] | 5 | $2^{35}$ | 2.67 h | 30 m |
| SHAKE128 | 5 | $2^{39}$ | 30 m | 25 m |
| SHA3-224 | 5 | $2^{36.7}$ | 29 h | 11.7 h |
| SHA3-256 | 5 | $2^{36.7}$ | 45.6 h | 428.8 h |

$\dagger$ Use the GPU implementation: 3 GTX970 GPUs for Keccak[1440,160,160] and 1 GTX1070 GPU for SHA3-224.
\$ For constructing the 2-round connector.

## Summary of Collision Attacks

| Target $[r, c, d]$ | $n_{r}$ | Complexity |
| :--- | :---: | :---: |
| Keccak[1024] | 3 | Practical |
| Keccak[768] | 3 | Practical |
| Keccak[768] | 4 | $2^{147}$ |
| Keccak[512] | 5 | Practical |
| Keccak[448] | 5 | Practical |
| SHA3-256 | 5 | Practical |
| SHA3-224 | 5 | Practical |
| SHAKE128 | 5 | Practical |
| Keccak[1440, 160, 160] | 6 | Practical |
| Keccak[640, 160, 160] | 5 | Practical |
| Keccak[240, 160,160] | 4 | Practical |
| Keccak[ 40, 160, 160] | 1 | Practical |
| Keccak[ 40, 160, 160] | 2 | $2^{73}$ |

## Outline

## (1) Introduction

## (2) Preimage Attack

## 3 Collision Attack

(4) Summary

## Summary

- Linearization is widely used in both collision and preimage attacks.
- Using GPU
- Searching good differential trails
- Solving systems of linear equations
- Main results
- Preimages can be found for up to 4 (out of 24) rounds.
- Collisions can be found for up to 6 (out of 24) rounds.
- Require new ways of exploiting degrees of freedom.


## Thank you for your attention! Q \& A

